

# Moment Methods and Adaptive Spectral Methods in the Gas Kinetic Theory

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## Boltzmann equation

- **Boltzmann equation:**

$$\frac{\partial f}{\partial t} + \nabla_{\mathbf{x}} \cdot (\mathbf{c}f) = Q(f, f), \quad t \in \mathbb{R}^+, \quad \mathbf{x} \in \mathbb{R}^3, \quad \mathbf{c} \in \mathbb{R}^3$$

- **Macroscopic quantities:**

- Density:  $\rho(t, \mathbf{x}) = m \int_{\mathbb{R}^3} f(t, \mathbf{x}, \mathbf{c}) \, d\mathbf{c}$

- Velocity:  $\mathbf{u}(t, \mathbf{x}) = \frac{m}{\rho(t, \mathbf{x})} \int_{\mathbb{R}^3} \mathbf{c}f(t, \mathbf{x}, \mathbf{c}) \, d\mathbf{c}$

- Temperature:  $T(t, \mathbf{x}) = \frac{m}{3\rho(t, \mathbf{x})R} \int_{\mathbb{R}^3} |\mathbf{c} - \mathbf{u}(t, \mathbf{x})|^2 f(t, \mathbf{x}, \mathbf{c}) \, d\mathbf{c}$

- **Equilibrium (Maxwellian):**

$$\mathcal{M}(\mathbf{c}) = \frac{\rho}{m(2\pi RT)^{3/2}} \exp\left(-\frac{|\mathbf{c} - \mathbf{u}|^2}{2RT}\right)$$

## Grad's moment method

- **Ansatz:**

$$\begin{aligned}
 f(t, \mathbf{x}, \mathbf{c}) &= \sum_{n=0}^N a_{i_1 \dots i_n}(t, \mathbf{x}) c_{i_1} \dots c_{i_n} \exp\left(-\frac{|\mathbf{c} - \mathbf{u}(t, \mathbf{x})|^2}{2RT(t, \mathbf{x})}\right) \\
 &= \sum_{n=0}^N a_{i_1 \dots i_n}(t, \mathbf{x}) \phi_{i_1 \dots i_n}^{[\mathbf{u}(t, \mathbf{x}), T(t, \mathbf{x})]}(\mathbf{c})
 \end{aligned}$$

- **Grad's moment equations:**

$$\int_{\mathbb{R}^3} c_{i_1} \dots c_{i_n} [\partial_t f + \nabla_{\mathbf{x}} \cdot (\mathbf{c}f)] d\mathbf{c} = \int_{\mathbb{R}^3} c_{i_1} \dots c_{i_n} Q(f, f) d\mathbf{c}$$

$n = 0, \dots, N \quad i_1, \dots, i_n = 1, 2, 3$

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$$n = 0, \dots, N \quad i_1, \dots, i_n = 1, 2, 3$$

- **Taking moments  $\Leftrightarrow$  Taking the inner product:**

$$\begin{aligned} \int_{\mathbb{R}^3} c_{i_1} \cdots c_{i_n} g(\mathbf{c}) d\mathbf{c} &= \langle g, \phi_{i_1 \dots i_n}^{[\mathbf{u}, T]} \rangle \\ \langle f_1, f_2 \rangle &= \int_{\mathbb{R}^3} f_1(\mathbf{c}) f_2(\mathbf{c}) [\phi^{[\mathbf{u}, T]}(\mathbf{c})]^{-1} d\mathbf{c} \end{aligned}$$

## Grad's moment method

- **Ansatz:**

$$\begin{aligned} f(t, \mathbf{x}, \mathbf{c}) &= \sum_{n=0}^N \mathbf{a}_{i_1 \dots i_n}(t, \mathbf{x}) c_{i_1} \cdots c_{i_n} \exp\left(-\frac{|\mathbf{c} - \mathbf{u}(t, \mathbf{x})|^2}{2RT(t, \mathbf{x})}\right) \\ &= \sum_{n=0}^N \mathbf{a}_{i_1 \dots i_n}(t, \mathbf{x}) \phi_{i_1 \dots i_n}^{[\mathbf{u}(t, \mathbf{x}), T(t, \mathbf{x})]}(\mathbf{c}) \end{aligned}$$

- **Grad's moment equations:**

$$\mathcal{P}_N^{[\mathbf{u}, T]} [\partial_t f + \nabla_{\mathbf{x}} \cdot (\mathbf{c}f)] = \mathcal{P}_N^{[\mathbf{u}, T]} Q(f, f)$$

where  $\mathcal{P}_N^{[\mathbf{u}, T]}$  is the projection operator onto the space

$$\text{span} \left\{ \phi_{i_1 \dots i_n}^{[\mathbf{u}, T]} \mid n = 0, \dots, N \right\} \subset L^2 \left( \mathbb{R}^3; [\phi^{[\mathbf{u}, T]}(\mathbf{c})]^{-1} d\mathbf{c} \right)$$

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## Hyperbolic moment method

- **Grad's moment equations are not globally hyperbolic!**
- **Grad's moment equations:**

$$\mathcal{P}_N^{[\mathbf{u}, T]} \partial_t f + \mathcal{P}_N^{[\mathbf{u}, T]} (c_i \partial_{x_i} f) = \mathcal{P}_N^{[\mathbf{u}, T]} Q(f, f)$$

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- ⊕ **The system is globally hyperbolic**
- ⊖ **The balance law form is lost**

Balance law:

$$\frac{\partial \mathbf{w}}{\partial t} + \frac{\partial \mathbf{F}(\mathbf{w})}{\partial x} = \mathbf{P}(\mathbf{w}) \mathbf{w}$$

Weak form:

$$\int_{\mathbb{R}^+} \int_{\mathbb{R}} \varphi^T \frac{\partial \mathbf{w}}{\partial t} dx dt + \int_{\mathbb{R}^+} \int_{\mathbb{R}} \varphi^T \frac{\partial \mathbf{F}(\mathbf{w})}{\partial x} dx dt = \int_{\mathbb{R}^+} \int_{\mathbb{R}} \varphi^T \mathbf{P}(\mathbf{w}) \mathbf{w} dx dt$$

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⊕ **The system is globally hyperbolic**

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General first-order quasi-linear system:

$$\frac{\partial \mathbf{w}}{\partial t} + \mathbf{A}(\mathbf{w}) \frac{\partial \mathbf{w}}{\partial x} = \mathbf{P}(\mathbf{w}) \mathbf{w}$$

Weak form:

$$\int_{\mathbb{R}^+} \int_{\mathbb{R}} \varphi^T \frac{\partial \mathbf{w}}{\partial t} dx dt + \int_{\mathbb{R}^+} \int_{\mathbb{R}} \varphi^T \mathbf{A}(\mathbf{w}) \frac{\partial \mathbf{w}}{\partial x} dx dt = \int_{\mathbb{R}^+} \int_{\mathbb{R}} \varphi^T \mathbf{P}(\mathbf{w}) \mathbf{w} dx dt$$



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Weak form:

$$\begin{aligned} \int_{\mathbb{R}} \mathbf{w}^T \boldsymbol{\varphi} |_{t=0} dx + \int_{\mathbb{R}^+} \int_{\mathbb{R}} \mathbf{w}^T \frac{\partial \boldsymbol{\varphi}}{\partial t} dx dt - \int_{\mathbb{R}^+} \int_{\mathbb{R}} \boldsymbol{\varphi}^T \mathbf{A}(\mathbf{w}) \frac{\partial \mathbf{w}}{\partial x} dx dt \\ = - \int_{\mathbb{R}^+} \int_{\mathbb{R}} \boldsymbol{\varphi}^T \mathbf{P}(\mathbf{w}) \mathbf{w} dx dt \end{aligned}$$

## Moment methods and adaptive basis functions

- Ansatz in the moment methods:

$$f(t, \mathbf{x}, \mathbf{c}) = \sum_{n=0}^N a_{i_1 \dots i_n}(t, \mathbf{x}) c_{i_1} \cdots c_{i_n} \exp\left(-\frac{|\mathbf{c} - \mathbf{u}(t, \mathbf{x})|^2}{\sqrt{RT(t, \mathbf{x})}}\right)$$

- $\mathbf{u}$  and  $T$  add **adaptivity** to the basis functions!

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- $\mathbf{u}$  and  $T$  add **adaptivity** to the basis functions!
- General route chart for adaptive methods:

SOLVE (EVOLVE) → ADAPT MESH → UPDATE SOLUTION

- Application in the kinetic theory:

- SOLVE:

$$\bar{f}^{n+1} = f^n - \Delta t \nabla_{\mathbf{x}} \cdot (\mathbf{c} f^n) + \Delta t Q(f^n, f^n)$$

- ADAPT MESH:

$$\mathbf{u}^{n+1} = \frac{\langle \mathbf{c} \bar{f}^{n+1} \rangle}{\langle \bar{f}^{n+1} \rangle} \quad T^{n+1} = \frac{\langle |\mathbf{c} - \mathbf{u}^{n+1}|^2 \bar{f}^{n+1} \rangle}{3R \langle \bar{f}^{n+1} \rangle}$$

- UPDATE SOLUTION:

$$f^{n+1} = \mathcal{P}_N^{[\mathbf{u}^{n+1}, T^{n+1}]} \bar{f}^{n+1}$$

- $\mathcal{P}_N^{[\mathbf{u}, T]}$  keeps moments up to  $N$ th order  $\Rightarrow \mathcal{P}_N^{[\mathbf{u}_1, T_1]} \mathcal{P}_N^{[\mathbf{u}_2, T_2]} g = \mathcal{P}_N^{[\mathbf{u}_1, T_1]} g$

## Numerical scheme based on adaptive basis functions

- Discretization of spatial derivative (Lax-Friedrichs):

$$\bar{f}_j^{n+1} = f_j^n - \frac{\Delta t}{\Delta x} (F_{j+1/2}^n - F_{j-1/2}^n) + \Delta t Q(f^n, f^n)$$

$$F_{j+1/2}^n = \frac{1}{2} \left[ c_1 f_{j+1}^n + c_1 f_j^n - \frac{\Delta x}{\Delta t} (f_{j+1}^n - f_j^n) \right]$$

- Final scheme:

$$f_j^{n+1} = \mathcal{P}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^{n+1} Q(f^n, f^n)$$

where

$$\mathcal{P}_j^{n+1} = \mathcal{P}_N^{[\mathbf{u}_j^{n+1}, T_j^{n+1}]}$$

$$\tilde{F}_{j,r}^n = \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 f_{j+1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_{j+1}^n - \mathcal{P}_j^{n+1} f_j^n) \right]$$

$$\tilde{F}_{j,l}^n = \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 f_{j-1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_j^n - \mathcal{P}_j^{n+1} f_{j-1}^n) \right]$$

## Relation to the moment methods

$$\begin{aligned}
 f_j^{n+1} &= \mathcal{P}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^{n+1} Q(f^n, f^n) \\
 \tilde{F}_{j,r}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1}(c_1 f_{j+1}^n) + \mathcal{P}_j^{n+1}(c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_{j+1}^n - \mathcal{P}_j^{n+1} f_j^n) \right] \\
 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1}(c_1 f_{j-1}^n) + \mathcal{P}_j^{n+1}(c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_j^n - \mathcal{P}_j^{n+1} f_{j-1}^n) \right]
 \end{aligned}$$

What are we really solving?

## Relation to the moment methods

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 f_j^{n+1} &= \mathcal{P}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^{n+1} Q(f^n, f^n) \\
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 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1}(c_1 f_{j-1}^n) + \mathcal{P}_j^{n+1}(c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_j^n - \mathcal{P}_j^{n+1} f_{j-1}^n) \right]
 \end{aligned}$$

## What are we really solving?

- Apply  $\mathcal{P}_j^n$  to the scheme

## Relation to the moment methods

$$\mathcal{P}_j^n f_j^{n+1} = \mathcal{P}_j^n f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^n Q(f^n, f^n)$$

$$\tilde{F}_{j,r}^n = \frac{1}{2} \left[ \mathcal{P}_j^n (c_1 f_{j+1}^n) + \mathcal{P}_j^n (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^n f_{j+1}^n - \mathcal{P}_j^n f_j^n) \right]$$

$$\tilde{F}_{j,l}^n = \frac{1}{2} \left[ \mathcal{P}_j^n (c_1 f_{j-1}^n) + \mathcal{P}_j^n (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^n f_j^n - \mathcal{P}_j^n f_{j-1}^n) \right]$$

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 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^n (c_1 f_{j-1}^n) + \mathcal{P}_j^n (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^n f_j^n - \mathcal{P}_j^n f_{j-1}^n) \right]
 \end{aligned}$$

## What are we really solving?

- Apply  $\mathcal{P}_j^n$  to the scheme
- Rearrange the terms:

$$\mathcal{P}_j^n \left( \frac{f_j^{n+1} - \frac{1}{2}(f_{j-1}^n + f_{j+1}^n)}{\Delta t} + \frac{c_1 f_{j+1}^n - c_1 f_{j-1}^n}{2\Delta x} \right) = \mathcal{P}_j^n Q(f^n, f^n)$$



## Relation to the moment methods

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 \mathcal{P}_j^n f_j^{n+1} &= \mathcal{P}_j^n f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^n Q(f^n, f^n) \\
 \tilde{F}_{j,r}^n &= \frac{1}{2} \left[ \mathcal{P}_j^n (c_1 f_{j+1}^n) + \mathcal{P}_j^n (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^n f_{j+1}^n - \mathcal{P}_j^n f_j^n) \right] \\
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- Grad's moment equations:

$$\mathcal{P}_N^{[\mathbf{u}, T]} [\partial_t f + \nabla_{\mathbf{x}} \cdot (\mathbf{c}f)] = \mathcal{P}_N^{[\mathbf{u}, T]} Q(f, f)$$

## Relation to the moment methods

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 \mathcal{P}_j^n f_j^{n+1} &= \mathcal{P}_j^n f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^n Q(f^n, f^n) \\
 \tilde{F}_{j,r}^n &= \frac{1}{2} \left[ \mathcal{P}_j^n (c_1 f_{j+1}^n) + \mathcal{P}_j^n (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^n f_{j+1}^n - \mathcal{P}_j^n f_j^n) \right] \\
 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^n (c_1 f_{j-1}^n) + \mathcal{P}_j^n (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^n f_j^n - \mathcal{P}_j^n f_{j-1}^n) \right]
 \end{aligned}$$

**We are solving Grad's moment equations!**

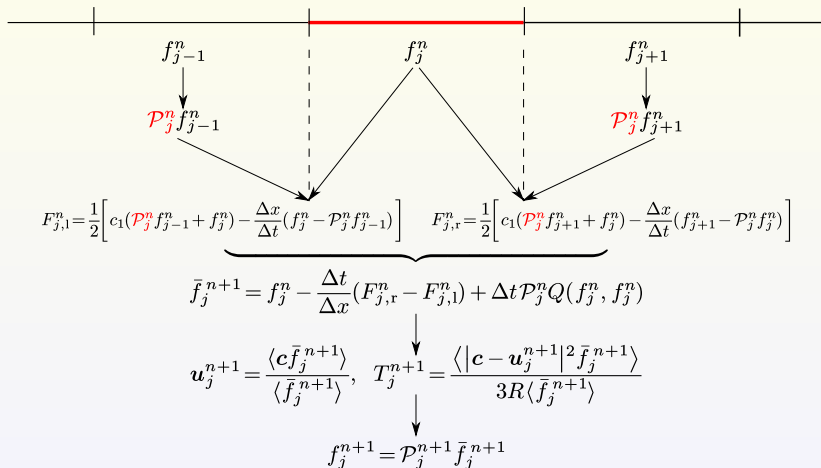
- Apply  $\mathcal{P}_j^n$  to the scheme
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- Grad's moment equations:

$$\mathcal{P}_N^{[\mathbf{u}, T]} [\partial_t f + \nabla_{\mathbf{x}} \cdot (c f)] = \mathcal{P}_N^{[\mathbf{u}, T]} Q(f, f)$$

## Another idea of using adaptive basis functions



## Relation to the moment methods

$$\begin{aligned}
 f_j^{n+1} &= \mathcal{P}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^{n+1} Q(f^n, f^n) \\
 \tilde{F}_{j,r}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j+1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_{j+1}^n - \mathcal{P}_j^{n+1} f_j^n) \right] \\
 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j-1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_j^n - \mathcal{P}_j^{n+1} f_{j-1}^n) \right]
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 \tilde{F}_{j,r}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j+1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_{j+1}^n - \mathcal{P}_j^{n+1} f_j^n) \right] \\
 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j-1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_j^n - \mathcal{P}_j^{n+1} f_{j-1}^n) \right]
 \end{aligned}$$

## What are we really solving?

By applying  $\mathcal{P}_j^n$  and rearrangement, we get

$$\mathcal{P}_j^n \left( \frac{f_j^{n+1} - \frac{1}{2}(f_{j-1}^n + f_{j+1}^n)}{\Delta t} + \frac{c_1 \mathcal{P}_j^n f_{j+1}^n - c_1 \mathcal{P}_j^n f_{j-1}^n}{2\Delta x} \right) = \mathcal{P}_j^n Q(f^n, f^n)$$

## Relation to the moment methods

$$\begin{aligned}
 f_j^{n+1} &= \mathcal{P}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^{n+1} Q(f^n, f^n) \\
 \tilde{F}_{j,r}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j+1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_{j+1}^n - \mathcal{P}_j^{n+1} f_j^n) \right] \\
 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j-1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_j^n - \mathcal{P}_j^{n+1} f_{j-1}^n) \right]
 \end{aligned}$$

## What are we really solving?

By applying  $\mathcal{P}_j^n$  and rearrangement, we get

$$\mathcal{P}_j^n \left( \frac{f_j^{n+1} - \frac{1}{2}(f_{j-1}^n + f_{j+1}^n)}{\Delta t} + \frac{c_1 \mathcal{P}_j^n f_{j+1}^n - c_1 \mathcal{P}_j^n f_{j-1}^n}{2\Delta x} \right) = \mathcal{P}_j^n Q(f^n, f^n)$$

Hyperbolic moment equations:

$$\mathcal{P}_N^{[u,T]} \left( \partial_t f + c_i \mathcal{P}_N^{[u,T]} \partial_{x_i} f \right) = \mathcal{P}_N^{[u,T]} Q(f, f)$$

## Relation to the moment methods

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 f_j^{n+1} &= \mathcal{P}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,l}^n) + \Delta t \mathcal{P}_j^{n+1} Q(f^n, f^n) \\
 \tilde{F}_{j,r}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j+1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_{j+1}^n - \mathcal{P}_j^{n+1} f_j^n) \right] \\
 \tilde{F}_{j,l}^n &= \frac{1}{2} \left[ \mathcal{P}_j^{n+1} (c_1 \mathcal{P}_j^n f_{j-1}^n) + \mathcal{P}_j^{n+1} (c_1 f_j^n) - \frac{\Delta x}{\Delta t} (\mathcal{P}_j^{n+1} f_j^n - \mathcal{P}_j^{n+1} f_{j-1}^n) \right]
 \end{aligned}$$

**We are solving hyperbolic moment equations!**

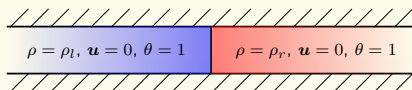
By applying  $\mathcal{P}_j^n$  and rearrangement, we get

$$\mathcal{P}_j^n \left( \frac{f_j^{n+1} - \frac{1}{2}(f_{j-1}^n + f_{j+1}^n)}{\Delta t} + \frac{c_1 \mathcal{P}_j^n f_{j+1}^n - c_1 \mathcal{P}_j^n f_{j-1}^n}{2\Delta x} \right) = \mathcal{P}_j^n Q(f^n, f^n)$$

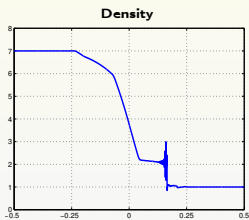
Hyperbolic moment equations:

$$\mathcal{P}_N^{[u,T]} \left( \partial_t f + c_i \mathcal{P}_N^{[u,T]} \partial_{x_i} f \right) = \mathcal{P}_N^{[u,T]} Q(f, f)$$

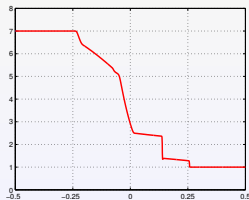
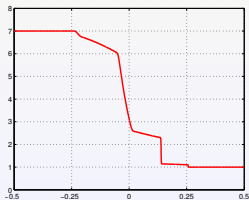
## Shock tube problem



Grad:



Hyperbolic:





## Collision operator

- **Linearized collision term:**

$$\mathcal{L}(f) = \int_{\mathbb{R}^3} \int_{\mathbf{n} \perp \mathbf{g}} \int_0^\pi K[f/\mathcal{M}]\mathcal{M}(\mathbf{c})\mathcal{M}(\mathbf{c}_1)gB(g, \chi) \sin \chi \, d\chi \, d\mathbf{n} \, d\mathbf{c}_1$$

$$K[\psi](\mathbf{c}, \mathbf{c}_1, \mathbf{n}, \chi) = \psi(\mathbf{c}'_1) + \psi(\mathbf{c}'_1) - \psi(\mathbf{c}_1) - \psi(\mathbf{c})$$

- Ansatz for the distribution function:

$$f(t, \mathbf{x}, \mathbf{c}) = \sum_{l=0}^L \sum_{m=-l}^l \sum_{n=0}^{N_l} f_{lmn}(t, \mathbf{x}) [RT(t, \mathbf{x})]^{-\frac{2n+l+3}{2}} \Phi_{lmn} \left( \frac{\mathbf{c} - \mathbf{u}(t, \mathbf{x})}{\sqrt{RT(t, \mathbf{x})}} \right)$$

- Basis functions:

$$\Phi_{lmn}(\boldsymbol{\xi}) = \frac{2^{-l/2} \pi^{-3/4}}{2m} \bar{L}_n^{(l+1/2)} \left( \frac{|\boldsymbol{\xi}|^2}{2} \right) |\boldsymbol{\xi}|^l Y_l^m \left( \frac{\boldsymbol{\xi}}{|\boldsymbol{\xi}|} \right) \exp \left( -\frac{|\boldsymbol{\xi}|^2}{2} \right)$$

- Linearized collision operator applied to the ansatz:

$$\mathcal{P}^{[\mathbf{u}, T]} \mathcal{L}(f) = \sum_{l=0}^L \sum_{m=-l}^l \sum_{n=0}^N \sum_{n'=0}^N a_{lnn'} f_{lmn'} (RT)^{-\frac{2n'+l'+3}{2}} \Phi_{lmn} \left( \frac{\mathbf{c} - \mathbf{u}}{\sqrt{RT}} \right)$$

The coefficients  $a_{lnn'}$ 

$$\mathcal{P}^{[u, T]} \mathcal{L}(f) = \sum_{l=0}^L \sum_{m=-l}^l \sum_{n=0}^N \sum_{n'=0}^N a_{lnn'} f_{lmn'} (RT)^{-\frac{2n'+l'+3}{2}} \Phi_{lmn} \left( \frac{\mathbf{c} - \mathbf{u}}{\sqrt{RT}} \right)$$

- The values of  $\tilde{a}_{lnn'} = a_{lnn'} / |a_{200}|$  for Maxwell molecules:

$$\tilde{a}_{0nn'} = \text{diag}\{0, 0, -0.666667, -1, -1.22814, -1.40369, -1.54745, -1.66980, -1.77672, \dots\}$$

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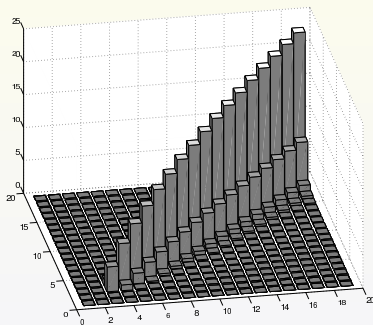
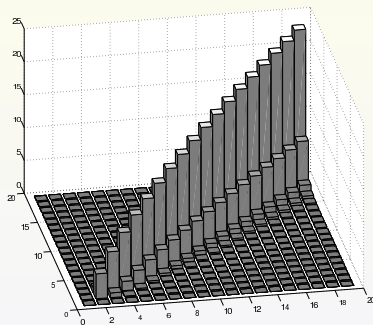
$$\tilde{a}_{2nn'} = \text{diag}\{-1, -1.16667, -1.34222, -1.49147, -1.61932, -1.73098, -1.83018, \dots\}$$

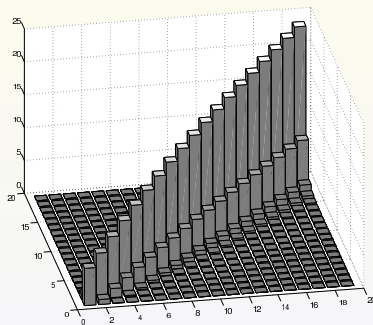
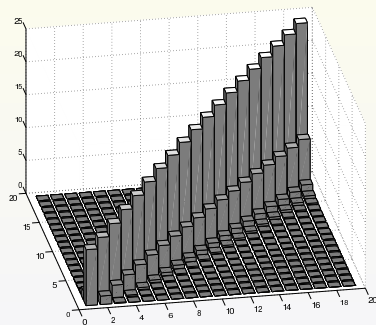
$$\tilde{a}_{3nn'} = \text{diag}\{-3.08328, -3.22791, -3.42659, -3.62404, -3.80956, -3.98174, -4.14143, \dots\}$$

- The values of  $a_{lnn'} / |a_{200}|$  for hard-sphere molecules:

$$a_{0nn'} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & -0.666667 & 0.154303 & 0.0181848 & \dots \\ 0 & 0 & 0.154303 & -1.10714 & 0.282001 & \dots \\ 0 & 0 & 0.0181848 & 0.282001 & -1.45288 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \quad a_{1nn'} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & -0.666667 & 0.125988 & 0.0128586 & 0.00274147 & \dots \\ 0 & 0.125988 & -1.07143 & 0.250295 & 0.0305672 & \dots \\ 0 & 0.0128586 & 0.250295 & -1.40303 & 0.358666 & \dots \\ 0 & 0.00274147 & 0.0305672 & 0.358666 & -1.68819 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

$$a_{2nn'} = \begin{pmatrix} -1 & 0.133631 & 0.0111359 & 0.0020561 & \dots \\ 0.133631 & -1.22024 & 0.24256 & 0.0262852 & \dots \\ 0.0111359 & 0.24256 & -1.47433 & 0.344432 & \dots \\ 0.0020561 & 0.0262852 & 0.344432 & -1.71982 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \quad a_{3nn'} = \begin{pmatrix} -1.5 & 0.227284 & 0.0209394 & 0.00420299 & \dots \\ 0.227284 & -1.64187 & 0.332994 & 0.0373019 & \dots \\ 0.0209394 & 0.332994 & -1.82502 & 0.42449 & \dots \\ 0.00420299 & 0.0373019 & 0.42449 & -2.01665 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$

Coefficients  $a_{lmn}$  for hard-sphere molecules(a)  $l = 0$ (b)  $l = 1$

Coefficients  $a_{lmn}$  for hard-sphere molecules(c)  $l = 2$ (d)  $l = 3$

The coefficients  $a_{lnn'}$  for inverse-power potentials

- Inverse-power potential with viscosity index 0.72:

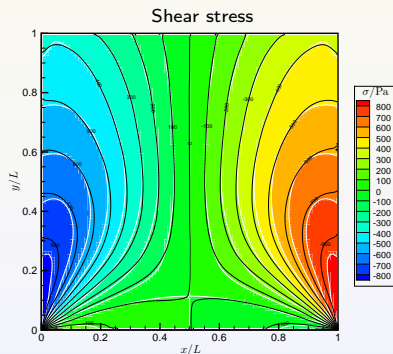
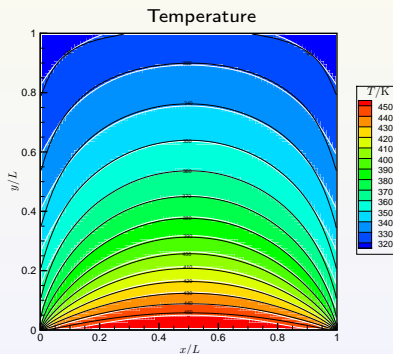
$$\begin{aligned}
 a_{0nn'} &= \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & -0.666667 & 0.0857241 & 0.0145928 & \dots \\ 0 & 0 & 0.0857241 & -1.05071 & 0.152972 & \dots \\ 0 & 0 & 0.0145928 & 0.152972 & -1.33463 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} & a_{1nn'} &= \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & -0.666667 & 0.0699934 & 0.0103186 & 0.00252586 & \dots \\ 0 & 0.0699934 & -1.0338 & 0.136919 & 0.0243102 & \dots \\ 0 & 0.0103186 & 0.136919 & -1.31159 & 0.193332 & \dots \\ 0 & 0.00252586 & 0.0243102 & 0.193332 & -1.53972 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \\
 a_{2nn'} &= \begin{pmatrix} -1 & 0.0742392 & 0.0089362 & 0.00189439 & \dots \\ 0.0742392 & -1.19202 & 0.133449 & 0.0209769 & \dots \\ 0.0089362 & 0.133449 & -1.40633 & 0.187704 & \dots \\ 0.00189439 & 0.0209769 & 0.187704 & -1.60277 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} & a_{3nn'} &= \begin{pmatrix} -1.5 & 0.126269 & 0.0168032 & 0.00387244 & \dots \\ 0.126269 & -1.60731 & 0.183875 & 0.0298685 & \dots \\ 0.0168032 & 0.183875 & -1.74768 & 0.232508 & \dots \\ 0.00387244 & 0.0298685 & 0.232508 & -1.89131 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}
 \end{aligned}$$

- Inverse-power potential with viscosity index 0.81:

$$\begin{aligned}
 a_{0nn'} &= \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & -0.666667 & 0.0586353 & 0.0111946 & \dots \\ 0 & 0 & 0.0586353 & -1.03230 & 0.103809 & \dots \\ 0 & 0 & 0.0111946 & 0.103809 & -1.29590 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} & a_{1nn'} &= \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \dots \\ 0 & -0.666667 & 0.047875 & 0.00791576 & 0.00203643 & \dots \\ 0 & 0.047875 & -1.02153 & 0.0931770 & 0.00791576 & \dots \\ 0 & 0.00791576 & 0.0931770 & -1.28134 & 0.130867 & \dots \\ 0 & 0.00203643 & 0.0185944 & 0.130867 & -1.49034 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} \\
 a_{2nn'} &= \begin{pmatrix} -1 & 0.0507796 & 0.00685525 & 0.00152732 & \dots \\ 0.0507796 & -1.18282 & 0.090988 & 0.0160630 & \dots \\ 0.00685525 & 0.090988 & -1.38322 & 0.127505 & \dots \\ 0.00152732 & 0.0160630 & 0.127505 & -1.56266 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix} & a_{3nn'} &= \begin{pmatrix} -1.5 & 0.0863680 & 0.0128903 & 0.00312208 & \dots \\ 0.0863680 & -1.59427 & 0.0128903 & 0.0228829 & \dots \\ 0.0128903 & 0.125419 & -1.71907 & 0.158046 & \dots \\ 0.00312208 & 0.0228829 & 0.158046 & -1.84562 & \dots \\ \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}
 \end{aligned}$$

## Heated cavity

Type of the gas: Maxwell molecules  
 Temperature of the bottom wall: 600K  
 Temperature of other walls: 300K  
 Knudsen number: 0.3  
 Number of moments: 816 ( $\approx 9.34^3$ )



## Lid-driven cavity

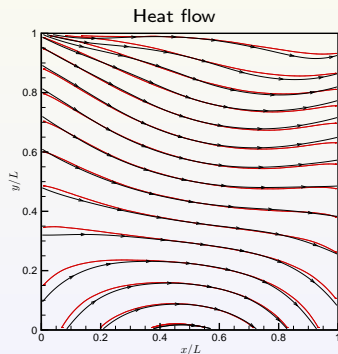
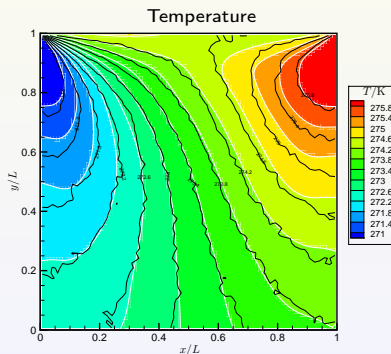
Type of the gas: Inverse-power-law gas with viscosity index 0.81

Mach number of the top lid: 0.16

Temperature of the walls: 273K

Knudsen number: 1.0

Number of moments: 8436 ( $\approx 20.36^3$ )



## Convergence of the two methods

**Is the moment method convergent when  $N \rightarrow \infty$ ?**



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$$f \in L^2 \left( \mathbb{R}^3; [\phi^{[u, T]}(\mathbf{c})]^{-1} d\mathbf{c} \right)?$$

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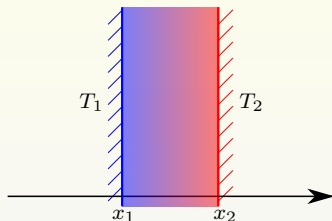
Is the moment method convergent when  $N \rightarrow \infty$ ?

$$\lim_{N \rightarrow \infty} P_N^{[u, T]} f = f?$$

$$f \in L^2 \left( \mathbb{R}^3; [\phi^{[u, T]}(\mathbf{c})]^{-1} d\mathbf{c} \right)?$$

This assumption is too strong...

## Heat transfer between plates



Diffuse reflection:

$$f(t, \mathbf{x}, \mathbf{c}) = \frac{\rho^W}{m(2\pi RT^W)^{3/2}} \exp\left(-\frac{|\mathbf{c}|^2}{2RT^W}\right), \quad \text{if } \mathbf{c} \cdot \mathbf{n} < 0$$

where

$$\rho^W = m \sqrt{\frac{2\pi}{RT^W}} \int_{\mathbf{c} \cdot \mathbf{n} > 0} (\mathbf{c} \cdot \mathbf{n}) f(t, \mathbf{x}, \mathbf{c}) d\mathbf{c}$$

## Heat transfer between plates

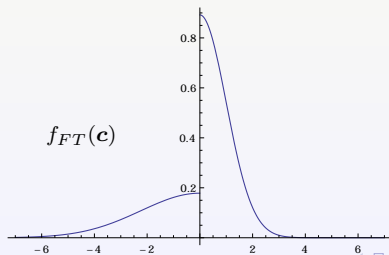
## Steady state solution:

- Collisionless case: ( $\partial_x f = 0$ )

$$f_{FT}(x, \mathbf{c}) = \begin{cases} \frac{\rho_1}{m(2\pi RT_1)^{3/2}} \exp\left(-\frac{|\mathbf{c}|^2}{2RT_1}\right) & \text{if } c_1 > 0 \\ \frac{\rho_2}{m(2\pi RT_2)^{3/2}} \exp\left(-\frac{|\mathbf{c}|^2}{2RT_2}\right) & \text{if } c_1 < 0 \end{cases}$$

$$\rho_1 \sqrt{T_1} = \rho_2 \sqrt{T_2}, \quad T = \sqrt{T_1 T_2}$$

If  $T_1 = 1$  and  $T_2 = 5$ , then  $T = \sqrt{5}$ .



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If  $T_1 = 1$  and  $T_2 = 5$ , then  $T = \sqrt{5}$ .

$$\int_{\mathbb{R}^3} [f_{FT}(\mathbf{c})]^2 \left(\phi^{[0,T]}(\mathbf{c})\right)^{-1} d\mathbf{c}$$

$$\geq \frac{\rho_2^2}{m^2(2\pi RT_2)^3} \int_{c_1 < 0} \exp\left[\left(\frac{1}{2RT} - \frac{1}{RT_2}\right)|\mathbf{c}|^2\right] d\mathbf{c} = +\infty$$

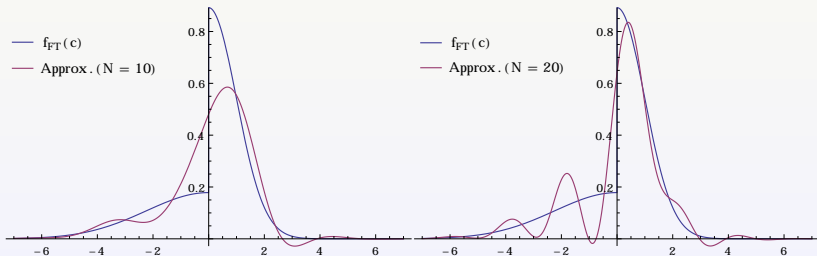
$$f_{FT}(\mathbf{c}) \notin L^2\left(\mathbb{R}^3; \left[\phi^{[\mathbf{u},T]}(\mathbf{c})\right]^{-1} d\mathbf{c}\right)$$

From weighted  $L^2$  to normal  $L^2$ 

- $\mathcal{P}_N^{[\mathbf{u}, T]} f_{FT}$  is NOT a good approximation of  $f_{FT}$

From weighted  $L^2$  to normal  $L^2$ 

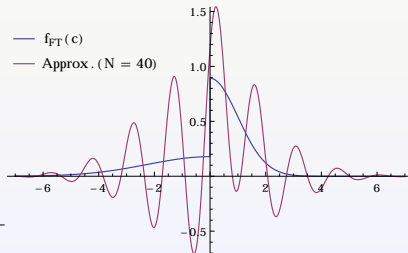
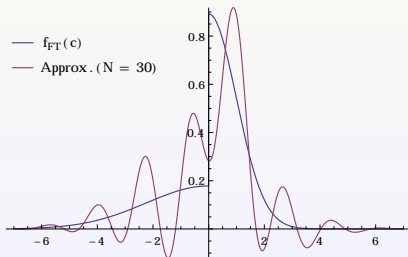
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From weighted  $L^2$  to normal  $L^2$ 

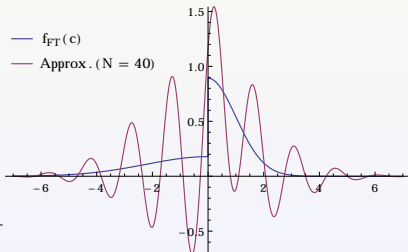
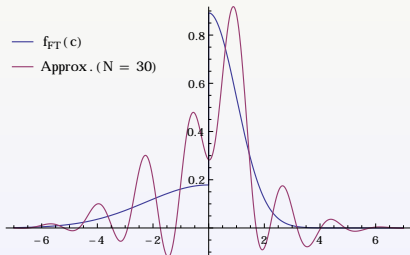
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- Is there better approximation to  $f_{FT}$  in

$$H_N^{[\mathbf{u}, T]} = \text{span} \left\{ c_{i_1} \cdots c_{i_n} \exp \left( -\frac{|\mathbf{c} - \mathbf{u}|^2}{2RT} \right) \mid n \leq N, i_1, \dots, i_n = 1, 2, 3 \right\} ?$$



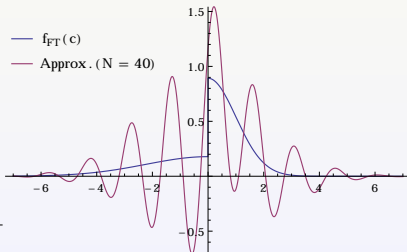
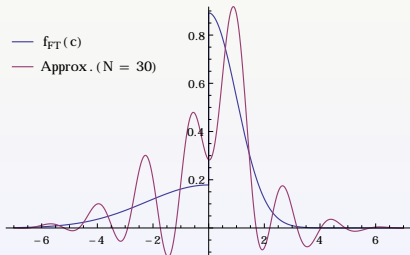
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Yes!

$$\tilde{\mathcal{P}}_N^{[\mathbf{u}, T]} f = \arg \min_{g \in H_N^{[\mathbf{u}, T]}} \|f - g\|_{L^2(\mathbb{R}^3)}$$



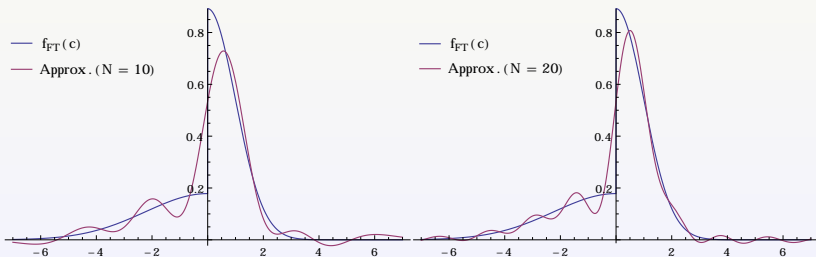
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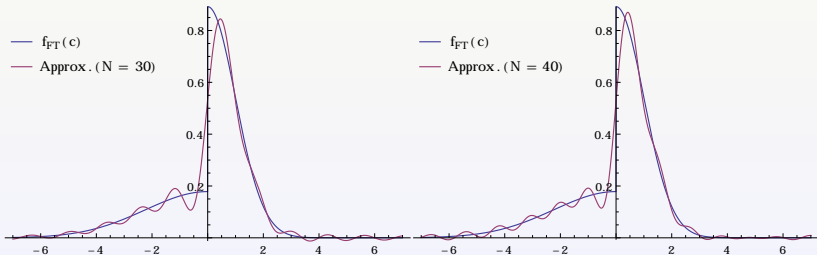
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**Yes!**

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## Conservation fix

- The new projection operator  $\tilde{\mathcal{P}}_N^{[\mathbf{u}, T]}$  does **NOT** preserve moments!
- $\tilde{\mathcal{P}}_N^{[\mathbf{u}, T]} f$  and  $f$  have different velocity and temperature
- The resulting numerical scheme does not conserve mass, momentum and energy

## Conservation fix

- The new projection operator  $\tilde{\mathcal{P}}_N^{[\mathbf{u}, T]}$  does **NOT** preserve moments!
- $\tilde{\mathcal{P}}_N^{[\mathbf{u}, T]} f$  and  $f$  have different velocity and temperature
- The resulting numerical scheme does not conserve mass, momentum and energy

Fix the conservation:

$$\hat{\mathcal{P}}_N^{[\mathbf{u}, T]} f = \arg \min_{g \in H_N^{[\mathbf{u}, T]}} \|g - f\|_{L^2(\mathbb{R}^3)}$$

$$\text{subject to } \int_{\mathbb{R}^3} g(\mathbf{c}) \, d\mathbf{c} = \int_{\mathbb{R}^3} f(\mathbf{c}) \, d\mathbf{c}$$

$$\int_{\mathbb{R}^3} c_i g(\mathbf{c}) \, d\mathbf{c} = \int_{\mathbb{R}^3} c_i f(\mathbf{c}) \, d\mathbf{c}$$

$$\int_{\mathbb{R}^3} c_i c_j g(\mathbf{c}) \, d\mathbf{c} = \int_{\mathbb{R}^3} c_i c_j f(\mathbf{c}) \, d\mathbf{c}$$

$$\int_{\mathbb{R}^3} |\mathbf{c}|^2 c_i g(\mathbf{c}) \, d\mathbf{c} = \int_{\mathbb{R}^3} |\mathbf{c}|^2 c_i f(\mathbf{c}) \, d\mathbf{c}$$

$\hat{\mathcal{P}}_N^{[\mathbf{u}, T]}$  does not change density, velocity, pressure tensor and heat flux!

## New moment method

$$\widehat{\mathcal{P}}_N^{[u,T]} \partial_t f + \widehat{\mathcal{P}}_N^{[u,T]} \left( c_i \widehat{\mathcal{P}}_N^{[u,T]} \partial_{x_i} f \right) = \widehat{\mathcal{P}}_N^{[u,T]} Q(f, f)$$

- If  $f(\mathbf{c}) \in L^2(\mathbb{R}^3)$  and  $f(\mathbf{c}) \geq 0$  satisfies

$$\int_{\mathbb{R}^3} |\mathbf{c}|^3 f(\mathbf{c}) d\mathbf{c} < +\infty,$$

then  $\widehat{\mathcal{P}}_N^{[u,T]} f$  converges to  $f$  as  $N \rightarrow \infty$

- Numerical scheme:

$$\begin{aligned} f_j^{n+1} &= \widehat{\mathcal{P}}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,1}^n) + \Delta t \widehat{\mathcal{P}}_j^{n+1} Q(f^n, f^n) \\ \tilde{F}_{j,r}^n &= \frac{1}{2} \widehat{\mathcal{P}}_j^{n+1} \left[ c_1 \widehat{\mathcal{P}}_j^n f_{j+1}^n + c_1 f_j^n - \frac{\Delta x}{\Delta t} (\widehat{\mathcal{P}}_j^n f_{j+1}^n - f_j^n) \right] \\ \tilde{F}_{j,r}^n &= \frac{1}{2} \widehat{\mathcal{P}}_j^{n+1} \left[ c_1 \widehat{\mathcal{P}}_j^n f_{j-1}^n + c_1 f_j^n - \frac{\Delta x}{\Delta t} (f_j^n - \widehat{\mathcal{P}}_j^n f_{j-1}^n) \right] \end{aligned}$$



## Another conservative version

$$\hat{\mathcal{P}}_N^{[\mathbf{u}, T]} \partial_t f + \bar{\mathcal{P}}_N^{[\mathbf{u}, T]} \left( c_i \hat{\mathcal{P}}_N^{[\mathbf{u}, T]} \partial_{x_i} f \right) = \bar{\mathcal{P}}_N^{[\mathbf{u}, T]} Q(f, f)$$

- Definition of  $\bar{\mathcal{P}}_N^{[\mathbf{u}, T]}$ :

$$\bar{\mathcal{P}}_N^{[\mathbf{u}, T]} f = \arg \min_{g \in H_N^{[\mathbf{u}, T]}} \|g - f\|_{L^2(\mathbb{R}^3)}$$

$$\text{subject to } \int_{\mathbb{R}^3} \begin{pmatrix} 1 \\ \mathbf{c} \\ |\mathbf{c}|^2 \end{pmatrix} g(\mathbf{c}) \, d\mathbf{c} = \int_{\mathbb{R}^3} \begin{pmatrix} 1 \\ \mathbf{c} \\ |\mathbf{c}|^2 \end{pmatrix} f(\mathbf{c}) \, d\mathbf{c}$$

- Numerical scheme:

$$\begin{aligned} f_j^{n+1} &= \hat{\mathcal{P}}_j^{n+1} f_j^n - \frac{\Delta t}{\Delta x} (\tilde{F}_{j,r}^n - \tilde{F}_{j,1}^n) + \Delta t \bar{\mathcal{P}}_j^{n+1} Q(f^n, f^n) \\ \tilde{F}_{j,r}^n &= \frac{1}{2} \bar{\mathcal{P}}_j^{n+1} \left[ c_1 \hat{\mathcal{P}}_j^n f_{j+1}^n + c_1 f_j^n - \frac{\Delta x}{\Delta t} (\hat{\mathcal{P}}_j^n f_{j+1}^n - f_j^n) \right] \\ \tilde{F}_{j,r}^n &= \frac{1}{2} \bar{\mathcal{P}}_j^{n+1} \left[ c_1 \hat{\mathcal{P}}_j^n f_{j-1}^n + c_1 f_j^n - \frac{\Delta x}{\Delta t} (f_j^n - \hat{\mathcal{P}}_j^n f_{j-1}^n) \right] \end{aligned}$$

## Shock structure problem

**Initial condition:**

$$f_0(x, \mathbf{c}) = \begin{cases} \mathcal{M}_{\rho_l, \mathbf{u}_l, \theta_l}(\mathbf{c}) & \text{if } x < 0 \\ \mathcal{M}_{\rho_r, \mathbf{u}_r, \theta_r}(\mathbf{c}) & \text{if } x > 0 \end{cases}$$

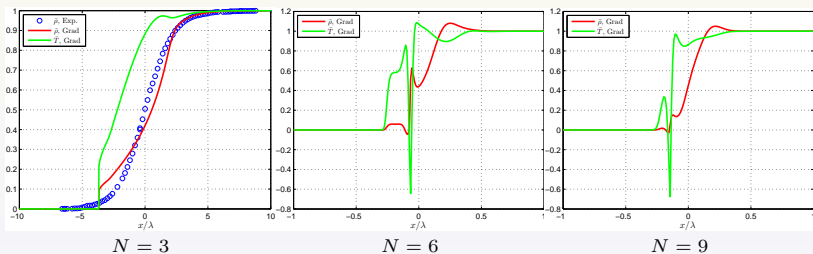
The initial condition is given by the **Rankine-Hugoniot condition**:

$$\begin{aligned} \rho_l &= 1 & \mathbf{u}_l &= \left( \sqrt{\frac{5}{3}} Ma, 0, 0 \right)^T & \theta_l &= 1 \\ \rho_r &= \frac{4Ma^2}{Ma^2 + 3} & \mathbf{u}_r &= \frac{\rho_l}{\rho_r} \mathbf{u}_l & \theta_r &= \frac{(5Ma^2 - 1)(Ma^2 + 3)}{16Ma^2} \end{aligned}$$

## Shock structure problem

**Initial condition:**

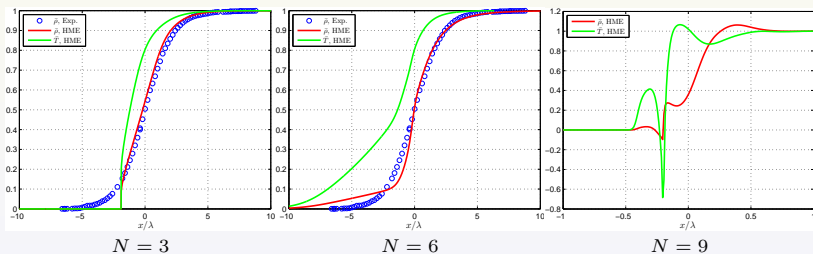
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Results of Grad's moment equations for  $Ma = 2.31$ :

## Shock structure problem

Initial condition:

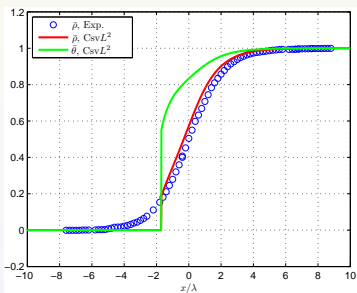
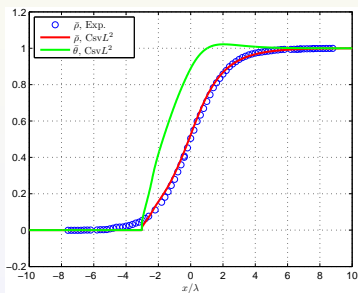
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Results of hyperbolic moment equations for  $Ma = 2.31$ :

## Shock structure problem

Initial condition:

$$f_0(x, \mathbf{c}) = \begin{cases} \mathcal{M}_{\rho_l, \mathbf{u}_l, \theta_l}(\mathbf{c}) & \text{if } x < 0 \\ \mathcal{M}_{\rho_r, \mathbf{u}_r, \theta_r}(\mathbf{c}) & \text{if } x > 0 \end{cases}$$

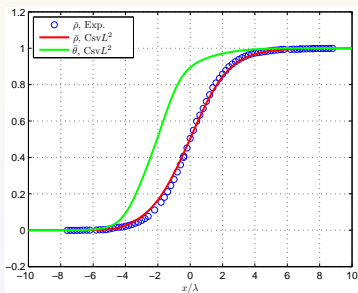
Results of the new moment method for  $Ma = 2.31$ : $N = 3$  $N = 6$

## Shock structure problem

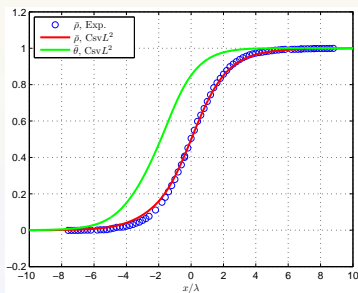
Initial condition:

$$f_0(x, \mathbf{c}) = \begin{cases} \mathcal{M}_{\rho_l, \mathbf{u}_l, \theta_l}(\mathbf{c}) & \text{if } x < 0 \\ \mathcal{M}_{\rho_r, \mathbf{u}_r, \theta_r}(\mathbf{c}) & \text{if } x > 0 \end{cases}$$

Results of the new moment method for  $Ma = 2.31$ :



$N = 9$



$N = 12$

## Conclusion and future work

### Conclusion:

- The moment methods can be interpreted as spectral methods with **adaptive** basis functions
- A reasonable **numerical method** is developed for the hyperbolic moment equations which do not have a balance-law form
- A **new and more robust moment theory** is developed with projection operators based on the  $L^2$ -norm

### Future work:

- Exploration of better adaptive methods
- Use the techniques in the moment method to improve the adaptive spectral method
- More applications

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### Future work:

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# Thank you!

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